

18304 U.S. PTO
07/16/03
Practitioner's Docket No. 359/002/PA
Express Mail No. EV 056975034 US

PATENT

21707 U.S. PTO
10/621761
07/16/03

Preliminary Classification:
Proposed Class:
Subclass:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Glen Ward; Kendall Hendrick; Vince Rizzo

For (title): Tray for Automated Histochemical Processing

1. Type of Application

This transmittal is for an original (nonprovisional) application.

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)
Application

7 Page(s) of Specification

4 Page(s) of Claims

7 Sheet(s) of Drawing(s)--Informal

B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney

1 Page(s) of abstract

3. Declaration or Oath

Enclosed

Executed by:

Kendall Hendrick, Vince Rizzo, inventors.

4. Inventorship Statement

The inventorship for all the claims in this application is different. Inventors for claims 1-7 are Ward and Hendrick; inventors for claims 8-27 are Ward and Rizzo.

5. Language

English

6. Assignment

An assignment of the invention to Ventana Medical Systems, Inc. will follow.

7. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$750.00
Total Claims (37 CFR 1.16(c))	27	- 20 =	7 x	\$18.00	\$126.00
Independent Claims (37 CFR 1.16(b))	3	- 3 =	0 x	\$84.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$280.00	\$0.00

Filing Fee Calculation

\$876.00

8. Fee Payment Being Made at This Time

Enclosed

Filing Fee

\$876.00

Total Fees Enclosed

\$876.00

9. Method of Payment of Fees

Charge Account No. 50-0861 in the amount of \$876.00.
A duplicate of this transmittal is attached.

(New Application Transmittal--page 3)

10. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-0861.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

11. Instructions as to Overpayment

Credit Account No. 50-0861.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION CLAIMED**

12. Relate Back

Amend the specification by inserting, before the first line, the following sentence:

A. 35 U.S.C. Section 119(e)

"This application claims the benefit of U.S. Provisional Application No.:

APPLICATION NO.

FILING DATE

60/396,837

07/16/2002

13. Maintenance of Copendency of Prior Application

This Application is filed prior to the expiration of the prior application, Serial Number 60/396,837.

14. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

a. This application discloses and claims additional disclosure by continuation-in-part and a new declaration or oath is being filed. With respect to the prior application, the inventors in this application are more than in the prior application. The following

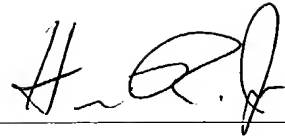
(New Application Transmittal--page 4)

additional inventor has been added:

Vince Rizzo

Date:

7/16/03



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ward, Glen; et al

Application No.: Not assigned

Group No.: Not Assigned

Filed: herewith

Examiner: Not Assigned

For: Tray for Automated Histochemical Processing

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Commissioner for Patents
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EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV 056975034 US

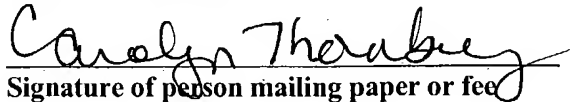
Date of Deposit: July 16, 2003

I hereby state that the following *attached* paper or fee

New Application Transmittal: 5 pages
Specification 7 sheets
Claims 4 sheets
Abstract 1 sheet
Drawings 7 sheets
Combined Declaration and Power of Attorney 3 pages
Post Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Carolyn Thornburg


Signature of person mailing paper or fee

(Express Mail Certificate--page 1 of 1)